

Curriculum Vitae

Michael A. Dubis, CFP®

EDUCATION:

University of Wisconsin - Madison, School of Business
Graduate Honors Real Estate & Urban Land Economics

The Denver College of Financial Planning
CERTIFIED FINANCIAL PLANNER® Designation

PROFESSIONAL CERTIFICATION & LICENSES:

CERTIFIED FINANCIAL PLANNER™ (CFP)®

NAPFA Registered Financial Advisor

Investment Advisor Representative (RIA)

SPEAKING & LECTURE ACTIVITIES:

Faculty Lecturer – University of Wisconsin Business School James A. Graaskamp Center for Real Estate

Monthly Contributor – IBMadison.com Blog Financial Perspectives

Guest Speaker – National Association of Personal Financial Advisors

Guest Speaker – Financial Planning Association of Southern Wisconsin

Guest Lecturer – University of Wisconsin Madison, Business School

Guest Speaker – University of Wisconsin Madison, Financial Planning Program

Guest Speaker – American Association of Individual Investors

Guest Speaker – National Association of Personal Financial Advisors Regional Conferences

Guest Speaker – State of Wisconsin Department of Financial Institutions “Money Conference”

Guest Speaker – Wisconsin Department of Natural Resources

Guest Speaker – Business & Education Partnership, Inc.

Guest Speaker – Wisconsin Women’s Business Initiative Corporation

Guest Speaker – Southern Wisconsin Educational In-service Organization

PROFESSIONAL EXPERIENCE:

Founder, President Michael A. Dubis Financial Planning, LLC

Faculty Lecturer - University of Wisconsin James A. Graaskamp Center for Real Estate

Relationship Manager, Financial Planner, Vantage Financial, Ltd. Arlington Heights, Illinois

Development Manager & Market Analyst, Horizon Development Group, Madison Wisconsin

Curriculum Vitae

Michael A. Dubis, CFP®

MILESTONES AND PROFESSIONAL LEADERSHIP:

Investment News - In 2014, Mike was named one of the top 40 advisers under 40 nationwide.

Medical Economics

In 2010, Mike was named one of best financial advisers for doctors nationwide. (This was when the publication still applied a very rigorous underwriting process before naming a top advisor. It was an honor to be named. *Medical Economics* has since changed management and now charges advisors to be named in the publication. Mike refuses to participate in a pay-to-play platform, and subsequently why he has not been in the publication since.)

National Association of Personal Financial Advisors (NAPFA)

2007 National Conference Chair
NAPFA - Registered Financial Advisor

Financial Planning Association (FPA) Past President & Chairman of the Southern Wisconsin Chapter;

Natural Heritage Land Trust (NHLT)

Past Treasurer and Board Member;
Past Investment Committee Chair

Wisconsin Real Estate Alumni Association (WREAA)

Southern Wisconsin Alumni Activities Board

St. Francis Xavier School Cross Plains Education Committee Chair.

Other Memberships (Past and Present): Advisors in Philanthropy (advisorsinphilanthropy.org); National Association of Tax Professionals (NATP); Madison Estate Planning Council

PUBLISHED WORKS & OTHER WRITTEN CONTRIBUTIONS:

Richelson, Stan & Hildy, *Bonds, Second Edition: The Unbeaten Path to Secure Investment Growth*.

Michael Dubis, "The Fiduciary Test: Are You Really on Your Clients' Side?" *Financial Planning Magazine*, October 2007.

Michael Dubis, "This Prize May Be More Than You Can Afford" *NAPFA Planning Perspectives*, May 2006: 5.

Michael Dubis, "Why You Need an Investment Policy Statement" *Business Watch Magazine*, August 2005.

Nancy Langdon Jones, *So You Want to Be a Financial Planner* 3rd ed. (Sunnyvale, CA: AdvisorPress, 2005): pages 177 – 182. and 4th ed. Pages 188 – 193. 5th ed. Pages 199-206.

Michael Dubis, Book Review "How the Best Get Better: The Art and Science of Entrepreneurial Success" *Dane County Business Watch* October 2004

Michael Dubis, "Assessing Home Ownership for Clients" *NAPFA Advisor*, March 2004: 10-11

Curriculum Vitae

Michael A. Dubis, CFP®

CONTINUING EDUCATION COMMITMENTS:

NAPFA Annual National & Regional Conferences
Financial Planning Association National and Regional Conferences
Southern Wisconsin FPA Continuing Education Conferences & Seminars

MEDIA INTERVIEWS:

- *CNBC Power Lunch (TV)*
- *WISC Live at 5:00 (TV)*
- *Money Magazine*
- *Wall Street Journal*
- *Business Week*
- *Financial Advisor Magazine*
- *Financial Planning Magazine*
- *Greater Madison In Business Magazine*
- *Morningstar Advisor*
- *Milwaukee Journal Sentinel*
- *CBSMarketwatch.com*
- *National Underwriter*
- *Physician Financial News*
- *Senior Market Advisor Magazine*
- *Smart Money Magazine*
- *Chicago Tribune*
- *NAPFA Advisor*
- *Investment News*
- *Madison Capital Times*
- *Bloomberg & Bloomberg Wealth Manager*
- *Business Watch of Dane County*
- *Stocks, Futures, Options Magazine*
- *Investment Research Magazine*

ACHIEVEMENT SUMMARY:

CNBC Power Lunch Advisor Task Force Member
2007 National Association of Personal Financial Advisors (NAPFA) National Conference Chair
Rydex Advisor Confidence Index Contributor of 150 selected independent national advisors.
Selected as one of the Madison Area's Top 40 Executives under the Age of 40.
Approved Investment Advisor for Dimensional Funds (www.dfaus.com).
Membership to The National Association of Personal Financial Advisors (NAPFA).
Successfully implemented final transition of Investment Management Firm to Comprehensive Financial Planning Firm while working in Illinois.
Current & past advisory positions: Managed, assisted, and overseen combined approximately \$300 million in net worth consisting of over 200 clients.
Assisted in financing and developing premier senior retirement apartment communities throughout Wisconsin and Iowa, overseeing \$2 – \$10 million projects.
Founded first small business in 1995, successfully & profitably operated until voluntary dissolution in 1999.

Curriculum Vitae

Michael A. Dubis, CFP®

OUTSIDE ACTIVITIES & ORGANIZATIONS

Personal

Married
Two Children
Two Dogs
Born & raised in Wisconsin
Currently resides in Western Dane County

Community Organizations

St. Francis Xavier, Cross Plains, Wisconsin
Natural Heritage Land Trust, Madison, Wisconsin
Southwestern Wisconsin Trout Unlimited
St. Thomas Church, Madison, Wisconsin

Hobbies

Avid Fly-Fisherman
Amateur Photography
Hiking, Biking, Outdoors
Golf (just good enough to not embarrass anyone who invites him.)